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P. Allen

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Date: September 20, 2001

Frederich H. Barker, et. al.

Examiner: T. Tran

Appln. No.: 09/406,445

Art Unit: 3652

Filed: September 27, 1999

Docket No.: OT-4551

Title: CONCRETE RAIL SAFETY DEVICE FOR AN ELEVATOR CAR

Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Enclosed is an Information Disclosure Citation, Form PTO-1449, submitted in compliance with 37 C.F.R. 1.97 (b) and/or (c), along with a copy of each document listed thereon.

This information disclosure statement is filed before the mailing date of a first Office Action on the merits. Accordingly, no statement (1.97(c)) or fee (1.17(p)) is required. However, in the event that an Office Action has been mailed, Applicants make the following statement under 1.97(c)(1):

Each item of information contained herein was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing hereof.

A copy of the foreign patent office communication is also enclosed for the Examiner's convenience.

Additionally, Applicants note that on the PTO-892 attached to Paper No. 2, item D (Vermette) should be listed as U.S. Patent No. 3,516,304. Correction is requested.

Please charge any required fee to Deposit Account No. 15-0750, Order No. OT-4551 (a duplicate copy hereof is enclosed).

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Respectfully submitted,
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of Patents and Trademarks, Washington, D.C. 20231 on

September 21, 2001
Mary Jones
Signature
September 21, 2001
Date